



Hong Kong  
Intellectual  
Property Agent  
Founded since 2001

# Patent Application Grant (PAG) Introduction



# Content

Part 1: Patent and Search Report	Part 2: PAG Flow
<b>1. What is Patent?</b>	<b>1. Application Stage</b> <ul style="list-style-type: none"><li>a) PAG e-platform</li><li>b) Supporting Documents</li></ul>
<b>2. Invention details</b> <ul style="list-style-type: none"><li>a) Explain your invention</li><li>b) Preliminary Patent Search (Free)</li><li>c) Patent document</li></ul>	<b>2. Assessment Stage</b> <ul style="list-style-type: none"><li>a) ITC questions</li><li>b) Face-to-Face interview</li></ul>
<b>3. Official Search Report</b>	<b>3. Approval</b>
<b>4. Patent Application Grant (PAG)</b>	<b>4. After Approval (Emails &amp; Documents)</b>



# Part 1: Patent and Search Report

01 What is patent?



02 Invention Details



03 Official Search Report



04 Patent Application Grant



# 1. What is Patent? (1/2)

Home › About IP › Patents

On this page ▾

## Patents

### What is a patent?

A patent is an exclusive right granted for an invention, which is a product or a process that provides, in general, a new way of doing something, or offers a new technical solution to a problem. To get a patent, technical information about the invention must be disclosed to the public in a patent application.

#### ▼ What kind of protection does a patent offer?

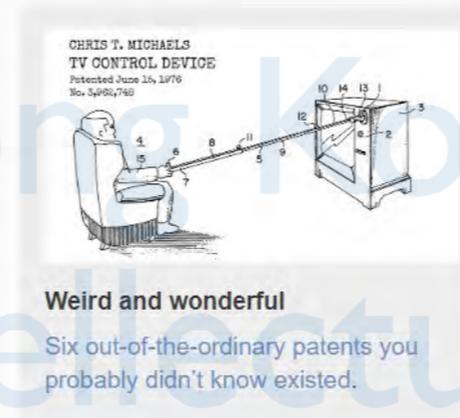
In principle, the patent owner has the exclusive right to prevent or stop others from commercially exploiting the patented invention. In other words, patent protection means that the invention cannot be commercially made, used, distributed, imported or sold by others without the patent owner's consent.

#### ▼ Is a patent valid in every country?

Patents are territorial rights. In general, the exclusive rights are only applicable in the country or region in which a patent has been filed and granted, in accordance with the law of that country or region.

#### ▼ How long does a patent last?

The protection is granted for a limited period, generally 20 years from the filing date of the application.



- The method of how a product or technology works
- Patent Rights including
  - Production
  - Sales
  - Import and export
  - Display

# 1. What is Patent? (2/2)

## Three properties:

1. Novelty (新穎性)
2. Inventiveness (創造性)
3. Industrial applicability (工業應用性)

### For Novelty (新穎性) & Inventiveness (創造性),

- The proposed invention should not be identical to other previously registered patents.
- The examiner will conduct a prior art search
  - Comparing the invention with other similar prior art
  - Providing evaluation on the similarity between the patent you want to apply for and the prior art
  - To decide whether to grant the patent right

### For Industrial applicability (工業應用性),

- See if your product or technology can be **quantified, replicated, and standardized**
- There are types of invention cannot be registered:
  - Intellection (智力活動)
  - Personal technical skills  
e.g. The way to cook a dish, How a doctor does a diagnosis, etc.
  - Business methods  
e.g. Franchising, etc.

\*Prior art = Everything made available to the public by means of a written or oral description, by use, or in any other way before the filing date (priority date).  
(From WIPO)

## 2. Invention Details – Explain your Invention

- Fill in patent details form (ENG/中) or send us information that can explain the following:
  1. Summary of the invention
    - a) What does the invention do?
    - b) How does the invention function?
  2. Description of the preferred embodiment
    - a) The construction
    - b) The principles involved
    - c) Methodology
  3. Drawings with brief description
  4. Prior art
    - a) Explain why the present invention is technically and/or functionally different from the listed prior art.



## 2. Invention Details – Preliminary Patent Search (Free)

- Based on the information that you sent us, we will conduct a preliminary patent search **based on our experience.**
- From the result of the preliminary patent search, we would **suggest the registrability of your proposed patent.**  
(The chance of getting a positive result for novelty and inventiveness in the official search)
- The comment in the preliminary patent search is provided by our experience in patent application and prosecution. No information should be regarded as legal opinion. Our company is not liable to any loss or damage in relation to any use of the comments.



## 2. Invention Details – Patent Document (專利文件)

- Written by a Qualified Patent Attorney
- Express what your invention does, how your product or technology works, the novelty & inventiveness part
- Contains
  - **Specifications(說明書)**
    - Technical field (技術領域)
    - Background (背景技術)
    - Summary (發明內容)
    - Brief description of the drawings (附圖說明)
    - Detailed description of embodiments (詳細說明)
    - An abstract (摘要)
  - **The claims (權利要求書)**  
(For determining the scope of protection of your patent & for judging whether others have infringed.)
    - The claims (權利要求)
    - The drawings (附圖)



### 3. Official Search Report (1/2)

- Conducted by China Patent Office (国家知识产权局).
- Duration: Drafting (2 weeks) + Search Result (2 months)
- The Official Search report contains:

#### 检索使用的数据库:

##### 检索用专利文献

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> 国际专利文献数据库 (INPADOC)       | <input checked="" type="checkbox"/> 德温特世界专利索引数据 (DWPI)       |
| <input checked="" type="checkbox"/> 中国专利文摘数据库 (CNABS/CPRSABS) | <input checked="" type="checkbox"/> 世界专利文摘库 (SIPOABS)        |
| <input checked="" type="checkbox"/> 中国香港文摘数据库 (HKABS)         | <input checked="" type="checkbox"/> 中国台湾文摘库 (TWABS)          |
| <input type="checkbox"/> 化学物质登记数据库 (REGISTRY)                 | <input checked="" type="checkbox"/> 专利全文数据库 (CN/EP/US/WO/JP) |
| <input type="checkbox"/> 美国化学文摘 (CA/CAPLus)                   | <input type="checkbox"/> 中国外观设计专利数据库                         |
| <input type="checkbox"/> 基因序列数据库 (DGENE/USGENE/PCTGENE)       |  |
| <input type="checkbox"/> 其它: _____                            |  |

##### 检索用非专利文献

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> 中国知网系列数据库 (CNKI)             | <input checked="" type="checkbox"/> 万方数据知识服务平台     |
| <input checked="" type="checkbox"/> 汤森路透 ISI Web of Knowledge 平台 | <input checked="" type="checkbox"/> 国家图书馆非专利期刊     |
| <input type="checkbox"/> 荷兰医学文摘库 (EMBASE)                        | <input checked="" type="checkbox"/> 互联网            |
| <input checked="" type="checkbox"/> 美国工程索引库 (EI)                 | <input type="checkbox"/> 中国药物数据库                   |
| <input checked="" type="checkbox"/> 英国科学文摘库 (INSPEC)             | <input checked="" type="checkbox"/> 知识产权网 (IP.COM) |
| <input type="checkbox"/> 其它: _____                               |  |

- The database(s) used for the search

相关专利文献					
类型	国别以及代码[11]给出的文献号	代码[43]或[45]给出的日期	IPC 分类号	相关的段落和/或图号	涉及的权利要求
A	CN202913478U	2013-05-01	E03C1/00	说明书第 [0025]-[0028]段	1-8
A	CN201635132U	2010-11-17	E03C1/12	全文	1-8
A	CN103866824A	2014-06-18	E03C1/12	全文	1-8
A	CN204676673U	2015-09-30	E03D11/16	全文	1-8
A	CN106013384A	2016-10-12	E03D11/18	全文	1-8
A	WO2016023419A1	2016-02-18	E03D1/22	全文	1-8

- Prior art that the examiner considers to be similar

表格填写说明事项:	
1.	关于说明书的页数,在有附图的情况下应当包括附图的页数,但不包括权利要求书和摘要的页数。
2.	审查员实际检索领域的 IPC 分类号应当填写到大组和 / 或小组所在的分类位置。
3.	对于期刊或其它定期出版物的名称,可以使用符合一般公认的国际惯例的缩写。
4.	<b>相关文件的类型说明:</b>
X:	一篇文件影响新颖性或创造性
Y:	与本报告中的另外的 Y 类文件组合而影响创造性
A:	背景技术文件
E:	在香港短期专利申请的申请日的当天或之后公布的在先申请或专利
P:	公布日先于香港短期专利申请的申请日但迟于所要求的优先权日的文件

- Type descriptions of related prior art
  - ✓ X: A document affects novelty or inventiveness, directly conflicts or quite similar
  - ✓ Y: Combining all the Y documents in this report affect inventiveness
  - ✓ A: Background technical documents (does not affect)

# 3. Official Search Report (2/2)

## ➤ Search Conclusion

- ✓ Whether meets the requirement of novelty (新穎性) & inventiveness (創造性)

Positive result	Mixed Result	Negative Result
<p>检索结论: 权利要求 1-10 具备新颖性, 符合中华人民共和国专利法第二十二条第二款的规定。 权利要求 1-10 具备创造性, 符合中华人民共和国专利法第二十二条第三款的规定。</p>	<p>检索结论: 权利要求 1-8 具备新颖性, 符合中华人民共和国专利法第二十二条第二款的规定。 权利要求 1-7 不具备创造性, 不符合中华人民共和国专利法第二十二条第三款的规定。 权利要求 8 具备创造性, 符合中华人民共和国专利法第二十二条第三款的规定。</p>	<p>检索结论: 权利要求 1-2, 3 (部分) 不具备新颖性, 不符合中华人民共和国专利法第二十二条第二款的规定。</p>
<p>检索结论: 权利要求 1-13 具备新颖性, 符合中华人民共和国专利法第 22 条第 2 款的规定; 权利要求 2-7, 9-13 具备创造性, 符合中华人民共和国专利法第 22 条第 3 款的规定; 权利要求 1, 8 不具备创造性, 不符合中华人民共和国专利法第 22 条第 3 款的规定。</p>	<p>检索结论: 权利要求 1-10 具备专利法第二十二条第二款规定的新颖性。 权利要求 1, 3 (部分), 4 (部分), 5 (部分), 6, 8 (部分), 9 (部分), 10 (部分) 不具备专利法第二十二条第三款规定的创造性; 权利要求 2, 3 (部分), 4 (部分), 5 (部分), 7, 8 (部分), 9 (部分), 10 (部分) 具备专利法第二十二条第三款规定的创造性。</p>	<p>检索结论: 权利要求 3 (部分), 4-11 具备新颖性, 符合中华人民共和国专利法第二十二条第二款的规定。 权利要求 3 (部分), 4-11 不具备创造性, 不符合中华人民共和国专利法第二十二条第三款的规定。</p>

<b>Positive Result:</b>	If all claims meets the requirement,	you <u>will successfully apply</u> for PAG
<b>Mixed Result:</b>	If certain claims meets the requirement,	you <u>may still successfully apply</u> for PAG
<b>Negative Result:</b>	If the claims do not involve either novelty or inventiveness,	you <u>will not be able to apply</u> for PAG

## 4. Patent Application Grant (PAG) (1/2)

### • What is Patent Application Grant (PAG)?

#### • A funding scheme

- To assist local companies and individuals to apply for patents of their own inventions
- Run by the Innovation and Technology Commission (ITC)
- Administered by Hong Kong Productivity Council (HKPC)

- Maximum funding support: **HK\$250,000 or 90% of the sum of the total direct costs** of patent application (including the cost for patent search-cum-technical assessment), whichever is the lower.
- Individual applicant/company applicant to **bear the remaining balance** required for the patent application(s).
- Approval **non-transferrable** and **valid for 3 years** from the date of approval of the application.

Note 1:

Description	Contribution (%)	Amount (HK\$)
ITC's contribution	90	250,000.00
Applicant's contribution	10	27,777.80
Total amount of contribution	100	277,777.80

Note 2:

$$\text{Maximum agent fee} \times 0.9 = \text{HK\$250,000.00} - \text{HK\$0}$$

ITC provides 90% contribution

Maximum grant

Cumulative used fund

Reference: [https://www.itc.gov.hk/en/fund\\_app/patent\\_app\\_grant.html](https://www.itc.gov.hk/en/fund_app/patent_app_grant.html)

<https://www.itf.gov.hk/en/funding-programmes/fostering-culture/pag/index.html>

<http://www.ipagent.com.hk/>



## 4. Patent Application Grant (PAG) (2/2)

### • Eligibility

- For all local companies, HK permanent residents or HK residents permitted to remain in HK for not less than seven years who have never owned any patents before will be eligible.

Individual Applicant	Company Applicant
<ul style="list-style-type: none"> <li>• The individual applicant <u>must be the sole inventor and have never owned any patents before.</u></li> <li>• However, you may have several inventors, but the individual applicant must be one of the inventors and have never owned any patents before.</li> </ul>	<ul style="list-style-type: none"> <li>• The inventor(s) of the invention <u>shall be a directly related party/parties to the applicant company,</u> e.g. owner, shareholder, director, or staff.</li> </ul>

- Pass the official search report
  - At least one claim meets the requirement of novelty (新穎性) & inventiveness (創造性).
- With technology elements and susceptible of industrial application
  - Decided by ITC.



# Part 2: PAG Flow

01 Application Stage



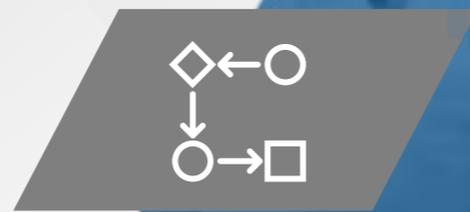
02 Assessment Stage



03 Approval

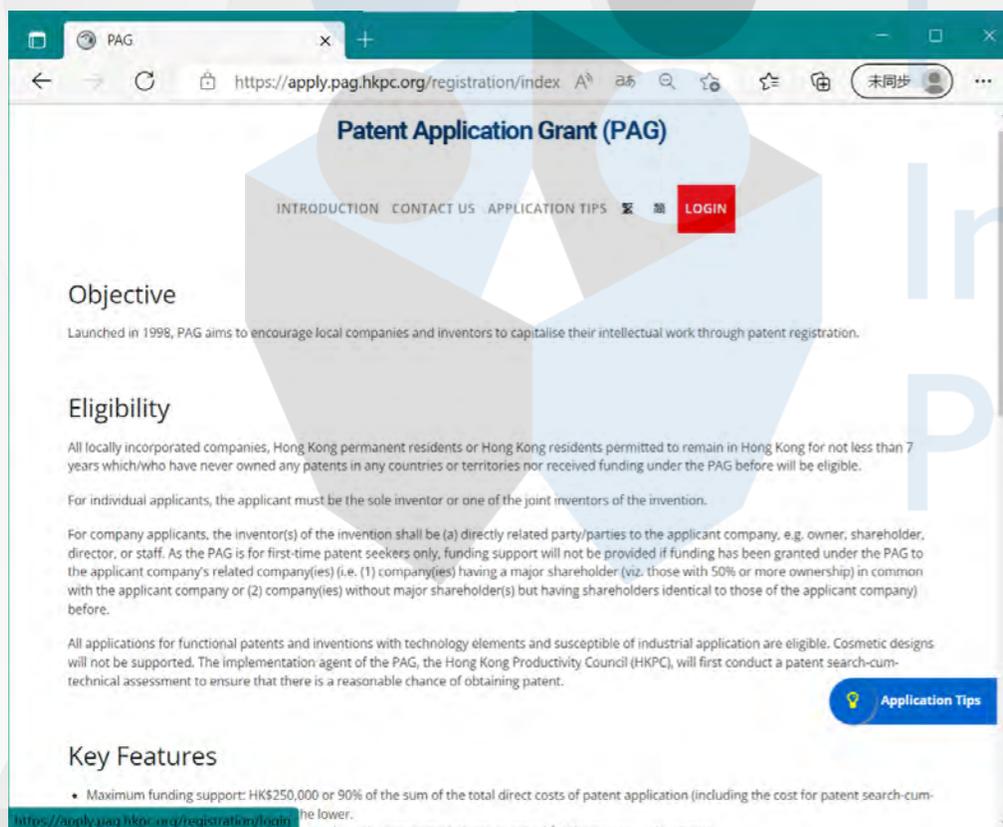


04 After Approval



# 1. Application Stage – PAG e-platform

- Please register an account and log in the PAG application e-platform: <https://apply.pag.hkpc.org/>.
- Please follow the instruction video from HKPC to fill in the application form: [https://apply.pag.hkpc.org/training/PAG\\_eplatform\\_V3.mp4](https://apply.pag.hkpc.org/training/PAG_eplatform_V3.mp4)



- The application form should be uploaded in word file.
- Since HKPC will ask the applicant to sign the declaration (P.5-8) during the face-to-face interview in later assessment stage, so no signature is required on the application form at the moment.
- If the application is filled by us, we will be the contact person of the PAG case.



# 1. Application Stage – Supporting Documents

- Please prepare the following information for applying the PAG:

Individual Applicant	Company Applicant
<ol style="list-style-type: none"> <li>1. HKID card copy of the applicant</li> <li>2. Address Proof of the applicant (Mailing address in HK)</li> <li>3. Other inventor(s) information               <ol style="list-style-type: none"> <li>a) Name in English &amp; Chinese</li> <li>b) Phone no.</li> <li>c) Email address</li> </ol> </li> </ol>	<ol style="list-style-type: none"> <li>1. Inventor(s) information               <ol style="list-style-type: none"> <li>a) Name in English &amp; Chinese</li> <li>b) Position</li> <li>c) Phone no.</li> <li>d) Email address</li> <li>e) Employment document (showing the direct relationship with the applicant company)</li> </ol> </li> <li>2. Company Name</li> <li>3. Brief description of business and its relevancy to the invention</li> <li>4. Valid Business Registration Certificate (BR)</li> <li>5. Certificate of Incorporation (CI)</li> <li>6. Incorporation Form (Form NNC1) <b>or</b> latest Annual Return (Form NAR1) of the applicant company</li> <li>7. Address proof (Address in BR)</li> </ol>

\* You may also upload the patent document & official search report

## 2. Assessment Stage – ITC Questions (1/5)

- HKPC will email to the contact person and ask the applicant to provide the documents/items in order to complete the necessary procedures in this stage.

About the Applicant

About the Application Form

About the Invention

PG/[REDACTED] - New PAG Application

 [REDACTED]@hkpc.org  
 收件人 [REDACTED]  
 抄送 ipsc@hkpc.org

23/5/2022

需后续工作。 完成时间: 星期一 2022年5-23。  
 答复此邮件的时间为 23/5/2022 下午 3:50。

Dear [REDACTED]

Greeting from HKPC.

We are writing in respect of the captioned application to the Patent Application Grant Scheme (PAG).

Your application has been processed and now is in the assessment stage, you are required to provide the following documents/items in order to complete the necessary procedures in this stage.

Please acknowledge receipt of this email.

- 1) Please send us an **updated NAR1 if affirmative. There is no document proof shows that Mr [REDACTED] is the director of [REDACTED] Limited.**
- 2) Re. P.1 of the application form, Please fill the Chinese name of applicant company and fill "NA" if there is no fax no. .
- 3) Re. P. 11 of the application form, please state explanation beside the technology element involved (Information Technology).

Please find attached blank form and your submitted form for your information. **The corresponding password of the folder is your mobile no. stated in form.**

We look forward to receive updates from you, on or before 6 Jun 2022.

If you have any questions, please feel free to contact me by email or tel: (852) [REDACTED]

Thank you.

Regards,  
 [REDACTED]  
 SME Funding Division



## 2. Assessment Stage – ITC Questions (2/5)

### About the Applicant Form

The name of the invention in the application form should be the same as the official search report

Fill in all blanks in the application form

Chinese & English name of the company and inventors should be filled

Dear [REDACTED]

We are writing in respect of the captioned application to the Patent Application Grant Scheme (PAG).

Your application has been processed and now is in the assessment stage, you are required to provide the following documents/items in order to complete the necessary procedures in this stage.

- (1) Since the application is submitted via e-platform, please note that we will ask the applicant to sign the declaration (P.5-8) during the face-to-face interview in later assessment stage.
- (2) PAG form P.10: Re. Search report and specification of subject invention provided, it is noted that the invention title is "[REDACTED]", which is different from the one shown on PAG application form. Please clarify and revise PAG form if applicable. Please note that the information should be aligned.
- (3) PAG form P.12: Please provide the answer of PART II D question 3.
- (4) PAG form P.19: Please provide the answer of PART III C question 3.

Your application has been processed and now is in the assessment stage, you are required to provide the following documents/items in order to complete the necessary procedures in this stage.

- (1) It is noted from the BR, CI and NNC1 provided that there is Chinese name for applicant company. Please provide the Chinese name on P.1 of the application form.
- (2) NNC1: The part "For Official Use" is left empty (P.1) and the signatures are missing inside NNC1. Grateful if applicant could provide the updated NNC1 and also the receipt for paying the NNC1 fee.
- (3) P.3 of the application form, Please provide the Chinese name of the inventors if applicable.
- (4) Employment contract of inventor, [REDACTED] for showing the direct relationship with the applicant company.

# 2. Assessment Stage – ITC Questions (3/5)

## About the Applicant & Invention

Provide employment contract or other documents of inventor, for showing the direct relationship with the applicant company.

NAR1

Your application has been processed and now is in the assessment stage, you are required to provide the following documents/items in order to complete the necessary procedures in this stage.

Please acknowledge receipt of this email.

- (1) NAR1: Please provide NAR1 of its mother company [REDACTED]
- (2) Employment contract or other documents of inventor [REDACTED] for showing the direct relationship with the applicant company.

Dear [REDACTED]

Please find below questions from ITC.

- (1) Grateful to know if the subject invention is a drug/supplement. If affirmative, grateful if the applicant would provide safety test report conducted by third party.
- (2) Please provide documents of [REDACTED] to verify the shareholder information.

Test Reports

Please find below questions from ITC.

- (1) Grateful if the company applicant would provide an organizational chart of the mother companies [REDACTED]
- (2) Grateful if the CI of [REDACTED]
- (3) Grateful for explanation of the test reports provided.

Organizational chart



## 2. Assessment Stage – ITC Questions (4/5)

### About the Invention

Brief explanation on the technology involved & how the invention works

Provide diagram & algorithm

Advise if the invention would comply with safety regulation (e.g. electronics, personal info, drugs)

- (1) Please provide a **brief explanation on the technology involved** next to the technology chosen on P.10 of the application form.
  - (2) Please fill in the Chinese Name and HKID No. on P.3 of the application form.
  - (3) Public displays has been taken place since January 2022, as mentioned on P.16 of the application form. As **public disclosure may affect the patentability of the invention, please advise the content and scope of disclosure.**
  - (4) Please provide the official receipt of NNCL.
  - (5) Please provide updated address proof with date of issue.
  - (6) Please **provide a diagram of the subject invention.**
  - (7) Please provide the **technical specification** of subject invention, including but not limited to size, power and sensitivity of various sensors.
  - (8) Please provide information [REDACTED]
- 
- (1) Grateful if the applicant would fill in the **Chinese Name** of first inventor on P.5 of the application form.
  - (2) Grateful to **know if electronics are involved in subject invention.** If affirmative, please advise if subject invention would comply with **safety regulations** related to electronics in the territories where the invention will be commercialised.
  - (3) Grateful to **know if algorithm is used in subject invention,** please.
  - (4) The answer is not relevant to the question on P.19 of the form. Please revise.
  - (5) Grateful to know what kind of [REDACTED] subject invention would generate. Please provide a **brief explanation on how the subject invention works.**

Provide technical specification

## 2. Assessment Stage – ITC Questions (5/5)

### Brief Summary

About the Applicant Form	About the Applicant	About the Invention
<ul style="list-style-type: none"> <li>• Fill up all the blanks in the application form</li> </ul>	<ul style="list-style-type: none"> <li>• NAR1/NNC1 with receipt &amp; organizational chart of the mother companies</li> </ul>	<ul style="list-style-type: none"> <li>• Provide Lab test report, diagram, algorithm</li> </ul>
<ul style="list-style-type: none"> <li>• The title of the invention in the application form and the search report should be the same.</li> </ul>	<ul style="list-style-type: none"> <li>• Employment contract or other documents of inventor (For showing the direct relationship with the applicant company.)</li> </ul>	<ul style="list-style-type: none"> <li>• Brief explanation on the technology involved &amp; how the invention works</li> </ul>
<ul style="list-style-type: none"> <li>• Chinese name of the company and inventors</li> </ul>		<ul style="list-style-type: none"> <li>• Technical specification of subject invention</li> </ul>
		<ul style="list-style-type: none"> <li>• Safety regulation (electronics, drugs, personal info, etc.)</li> </ul>



## 2. Assessment Stage – Face-to-Face Interview (1/2)

- HKPC will schedule a face-to-face meeting for inventor(s) of the PAG application,
  - For verifying the identity of the inventor(s)
  - For further understanding the invention

Dear [REDACTED]

To further proceed with this application, we would like to invite the sole inventor of the subject application, Mr [REDACTED] to attend an interview in person at the HKPC Building. It is a short interview which takes about 10-15 minutes for verifying the identity of the inventor and further understanding the subject invention of the PAG application.

The inventor should bring along with the ID and original copy of the search report to attend the interview. Grateful if you could also bring along the prototype for a demonstration if applicable.

Since the application is submitted via e-platform, please note that the inventor(s) has to prepare **2 sets of signed FULL application** form to come to interview.

Please find the required document attached. **The password to open the file is the mobile number provided on the PAG application form.**

**Please find below proposed schedule below so that we could arrange for the interview.** We would proceed to prepare and write the assessment report to Innovation Technology Commission once the interview is completed.

Option A: 4 July 2022 (Mon) at 3 p.m.

Option B: 8 July 2022 (Fri) at 11 a.m.

Option C: 13 July 2022 (Wed) at 3 p.m.

We look forward to receiving your response on or before 6 Jul 2022.

Thanks!

**Proposed Schedule**

**Ask applicant to provide availability**

**All inventors should attend the interview.**

Dear [REDACTED]

Well received.

To further proceed with this application, we would like to invite inventors (1. [REDACTED] and 2. [REDACTED]) of the subject application, to attend a face to face interview. It is a short interview which takes about 15-30 minutes for verifying the identity of the inventor and further understanding the subject invention of the PAG application.

Inventors should bring along with **1. ID, 2. prototype/demonstration, 3. 2 sets original copies of the attached declaration with signature and company chop and 4. Original search report** to attend the interview.

**Please advise the availability so that we could arrange for a suitable date and time for the interview.** We would proceed to prepare and write the assessment report to Innovation Technology Commission once the interview is completed.

We look forward to receive updates from you, on or before 22 Jun 2022.

If you have any questions, please feel free to contact me by email or tel: (852) [REDACTED]

Thank you.

## 2. Assessment Stage – Face-to-Face Interview (2/2)

- Please bring along the following items to attend the interview:
  1. HKID card
  2. Prototype/demonstration
  3. **TWO** sets original copies of the signed application form  
(Please print and sign (with company chop\*) on the final version of the application form.)  
\*For applicant company
  4. Original search report  
(If the original search report is in our office, we will send the original copy to HKPC directly by SF.)
- After the interview, HKPC will send an email to the applicant for confirming the agent.

For the below email, there are questions raised by ITC after receiving the assessment report. Copied below for your easy reference.

Please find ITC's comments / requests at the below.

(1) Please confirm if applicant will continue to assign Hong Kong Intellectual property Agent Limited after approval.

**Ask applicant to confirm agent**



# 3. Approval (1/2)

**hkpc**  
生產力局

Our ref: PG [redacted]  
Your ref: nil

24 March 2022

[redacted]

Dear [redacted]

Re: Patent Application Grant ("PAG") (PG/109/21) – Total Assessment Fee

We write to inform you that the captioned PAG application has been approved.

No direct cost has been incurred for the assessment, thus no further payment is required.

Should you have any queries, please contact Ms [redacted] or by email at ipsc@hkpc.org.

Yours sincerely,  
[redacted]  
General Manager  
SME Funding Division

CL/cn/kc

\\Patent Application Grant\2021\pg10921\C05searchinv#pg10921(2.1)

## HKPC Approval Letter

Our fax: (852) 3187 4509  
Your fax: Nil  
Your email: [redacted]

By Post & By Email

ITC approved

Lapse Date

**π** 創新科技署  
Innovation and Technology Commission  
香港特別行政區政府  
The Government of the Hong Kong Special Administrative Region

**ITC Approval Letter**

Ref: PG [redacted]  
Tel: 3841 4730

24 March 2022

[redacted]

Dear [redacted]

Ref. No. : PG [redacted]  
Project Title [redacted]

I am pleased to inform you that the Innovation and Technology Commission has approved your application for funding support under the Patent Application Grant. A grant of not more than HK\$250,000 or 90% of the sum of the total direct cost of the patent application (including the cost for patent search-cum-technical assessment), whichever is lower, will be provided. Please note that you are obliged to pay the remaining 10% of the total costs. The patent application should be made under the name [redacted]. The grant is non-transferable and will be valid for three years from the issue date of this approval letter up to the lapse date on 23 March 2025, unless otherwise approved by this Commission in writing.

The Hong Kong Productivity Council will take follow-up actions on your application and inform you of the detailed procedures for making/filing applications to the patent offices in due course.

Should you have any question, please feel free to contact me at tel no [redacted].

Yours sincerely,  
[redacted]  
(Ms [redacted])  
for Commissioner for Innovation and Technology

cc. Ms [redacted] SME Engagement Division  
Hong Kong Productivity Council - Fax : 3187 4509

21/F, West Wing, Central Government Offices, 2 Tin Mui Avenue, Tamar, Hong Kong 香港中環添美道2號政府總部西翼21樓  
Facsimile 傳真: 2736 4533

Approval Date



## 3. Approval (2/2)

### Approval Letter

HKPC Approval Letter	ITC Approval Letter
<p>Inform the applicant that...</p> <ul style="list-style-type: none"> <li>• The PAG application has been approved.</li> <li>• If there is any direct cost incurred for the assessment.</li> </ul>	<p>Inform the applicant that...</p> <ul style="list-style-type: none"> <li>• ITC has approved the PAG application.</li> <li>• At most \$250,000 or 90% of the sum of the total cost of the patent application. (including the cost incurred for the assessment)</li> <li>• The applicant are obliged to pay the remaining 10% of the total costs</li> <li>• The approval date and lapse date</li> </ul>



## 4. After Approval (1/9)

### 1. HKPC to applicant: Which jurisdiction

- HKPC will ask the applicant to advise:
  - i. Which jurisdiction that the applicant would like to submit the patent application;
  - ii. Which type of patent application, Invention or Utility;
  - iii. If claiming priority is needed.

Dear [REDACTED],

Ref. No.: PG [REDACTED]

Applicant: [REDACTED]

We are pleased to inform you that ITC has approved the subject application for funding support under the Patent Application Grant on 16 May 2022.

Please advise in which countries and which types of protection (i.e. "Invention Patent" or "Utility Model Patent") that the applicant intend to file patent applications in respect of the invention.

Moreover, please also advise & provide if any patent application(s) in respect of applicant's invention have been filed so far for claiming priority. Upon your confirmation, we shall prepare corresponding documents for your consideration.

We look forward to hearing from you.

Dear [REDACTED],

閣下的 PAG 申請已獲批核，現附上信函供您參閱。

所有經本資助計劃提交的專利申請須以貴公司 [REDACTED] 的名義作出申請。資助有效期為 3 年，直至 2025 年 4 月 6 日屆滿。

有關題述的 PAG 申請，請告知以下事項：-

- (1) 在那些地區提交專利申請；
- (2) 提交什麼類型的專利申請（發明專利或實用新型專利）；和
- (3) 有沒有任何優先權的日期（請提供相關文件）。

當我們收到您的指示，便會發信函給您以便我們展開申請工作。請在 2022 年 4 月 26 日或之前回覆以上提問。



## 4. After Approval (2/9)

### 2. HKIPA to applicant: Quotation

- We will send the quotation to client for confirmation.

PAG balance (Max.):  
 $\text{HK\$}250,000 / 0.9 = \text{HK\$} 277,777.80$

Filing Stage (Max.):  
 $\text{HK\$}180,000 / 0.9 = \text{HK\$} 200,000$

Post-Filing stage (Max.):  
 $\text{HK\$}70,000 / 0.9 = \text{HK\$} 77,777.80$

RE: PG [REDACTED] – PAG Patent Application NEW Approved



S [REDACTED]@ipagent.com.hk

收件人 [REDACTED]

抄送 [REDACTED]

23/5/2022

需后续工作。

Dear [REDACTED]

Our quotation for filing applications is as follow:

**Drafting fee**

Chinese patent document: HKD12,000

**Filing fee**

PCT: HKD22,000

Hong Kong short-term patent application: HKD14,000 (including official search fee)

China (Invention Patent Application): HKD23,000

U.S.A.: HKD66,000

**Claiming one priority (if any):** HKD2,000 for each country

**Translation fee**

Chinese to English: HKD6,000

**Handling fee for office actions (if any):** around HKD20,000 for each country

Since HKPC has divided the PAG grant into 2 stages: Filing stage & post-filing stage, please find the following table for your reference:

	1st stage: Filing stage	2nd stage: Post-filing stage		Total
	Filing	OAs	Granting fee	
PCT	22,000			22,000
HKP6	14,000			~14,000
CN	23,000	~20,000		~43,000
USA	46,000+2,000+6,000	~20,000	20,000	~94,000
Total	~113,000	~40,000	20,000	173,000

\*HKD2000 is the claiming priority fee and HKD6000 is the translation fee.

Please let us have your decision asap. Thank you

## 4. After Approval (3/9)

### 3. HKIPA to HKPC: Quotation

- We will inform HKPC which jurisdiction that the applicant would like to submit the patent application and send the quotation(s) to HKPC.



RE: [REDACTED] – PAG Patent Application NEW Approved - PCT, Ch

SH [REDACTED]@ipagent.com.hk

收件人 'ipsc@hkpc.org'; [REDACTED]  
抄送 [REDACTED]

[PDF] [REDACTED] PCT [REDACTED].pdf 208 KB  
[PDF] [REDACTED] CN [REDACTED].pdf 209 KB  
[PDF] [REDACTED] HKP6 [REDACTED].pdf 188 KB  
[PDF] [REDACTED] US [REDACTED].pdf 209 KB

Dear [REDACTED]

The applicant would like to apply for the patent application in PCT, China (Invention), Hong Kong Short-term and United States.

There is **priority claim** for the patent application in United States.

Please find the attached **quotations for PCT, China(Invention), HK Short term (P6) and US for** your processing.

Thank you.

Best Regards,  
[REDACTED]  
Hong Kong Intellectual Property Agent Limited

## 4. After Approval (4/9)

### 4. HKPC to applicant: C01 letter & appendix

- HKPC will send C01 letter and appendix to applicant.

#### C01 letter:

- Confirm agent
- Confirm jurisdiction
- Calculation of PAG balance
- Applicant's own contribution of 10%

#### Every Reply slip:

- Signature should be the same with the application form
- Sign with company chop if the applicant is a company

PG/[REDACTED]/HKu, PG/[REDACTED]/PCT, PG/[REDACTED]/PRC/p, PG/[REDACTED]

ipsc@hkpc.org  
 收件人 [REDACTED] 27/5/2022  
 抄送 [REDACTED]

需后续工作。 完成时间: 星期五 2022年6-10。

PDF C01cquo#[REDACTED](1.1).pdf 3 MB	PDF Appendix_HKST.pdf 113 KB
PDF Appendix_PRCp.pdf 22 KB	PDF Appendix_US.pdf 108 KB
PDF Appendix_PCT.pdf	

Dear [REDACTED]

Please find **the attached letter with appendix for your confirmation** and please return the reply slip to us for further handling.

Thanks & regards,



## 4. After Approval (5/9)

### 5. HKPC to HKIPA: Confirm taking over

- After HKPC has confirmed the payment, they will send us a confirmation letter (A02) to confirm taking over the captioned PAG case.

RE: PG/[REDACTED] Reply Slip(CR01)



ipsc@hkpc.org

收件人 [REDACTED] ipagent.com.hk

抄送 [REDACTED]

答复此邮件的时间为 27/5/2022 上午 9:10。



Dear [REDACTED]

Please find the attached **letter for your confirmation** and please return the reply slip to us for further handling.

Thanks & regards,

[REDACTED]

Project Officer

SME Funding Division

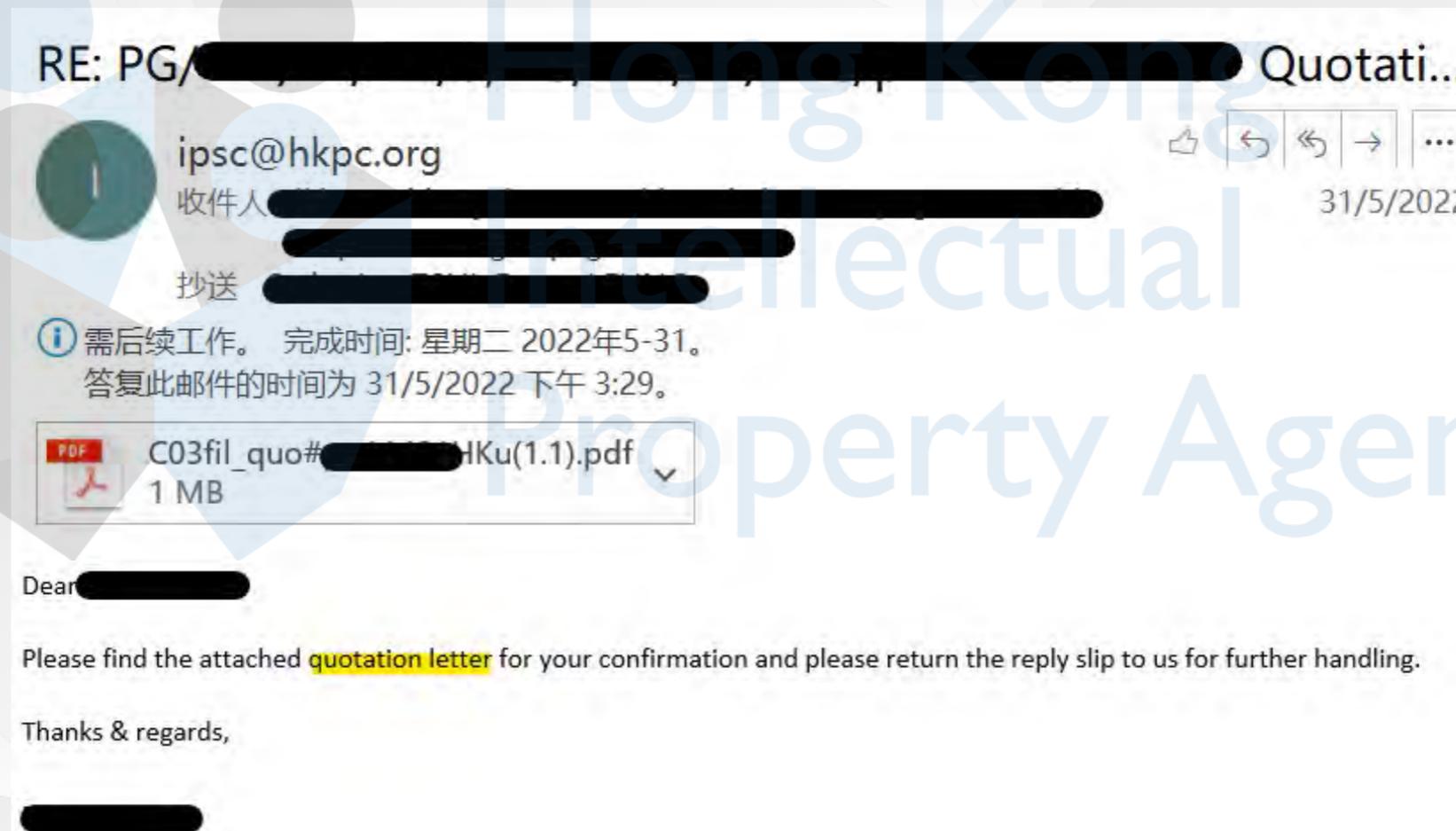
D: (852) [REDACTED]



## 4. After Approval (6/9)

### 6. HKPC to applicant: Quotation letter

- After we confirmed taking over, HKPC will send quotation letter to the applicant.
- Applicant will sign & chop the reply slip in the quotation letter (Confirm quotations)



## 4. After Approval (7/9)

### 7. HKPC to HKIPA: Instruction letter & Purchase Order (PO)

- After HKPC received the signed reply slip, they will issue instruction letter & PO to us.

回覆: [redacted]/HK/u, PG, [redacted]/PRC/p - CN & HKP6 - PO

ipsc@hkpc.org  
收件人 [redacted]@ipagent.com.hk  
抄送 [redacted]

8/6/2022

答复此邮件的时间为 14/6/2022 下午 3:36。  
如果显示此邮件的方式有问题, 请单击此处以在 Web 浏览器中查看该邮件。

A04fil\_quo#[redacted](1.1).pdf 573 KB  
PO [redacted].pdf 351 KB

Dear [redacted]

Please find the attached **instruction letter & PO** for your attention.

Thanks & regards,

[redacted]  
Project Officer  
SME Funding Division  
D: (852) [redacted]



## 4. After Approval (8/9)

### 8. HKIPA to HKPC: Report

- Once we received the PO, we will start submitting the patent application(s).
- Then, we will send the invoice(s) to HKPC.

RE: PG/[REDACTED]/HK/u - HKP6 - Report

SH [REDACTED]@ipagent.com.hk> 14/6/2022

收件人 'ipsc@hkpc.org'; [REDACTED]  
抄送 ' [REDACTED]'

PDF P6 [REDACTED].pdf 374 KB

PDF 摘要.pdf 186 KB

PDF 说明书附图.pdf

Dear [REDACTED]

Attached please find the filing record for your processing, the original invoice will be sent by mail soon.

Thank you.

Best Regards,  
[REDACTED]

Hong Kong Intellectual Property Agent Limited



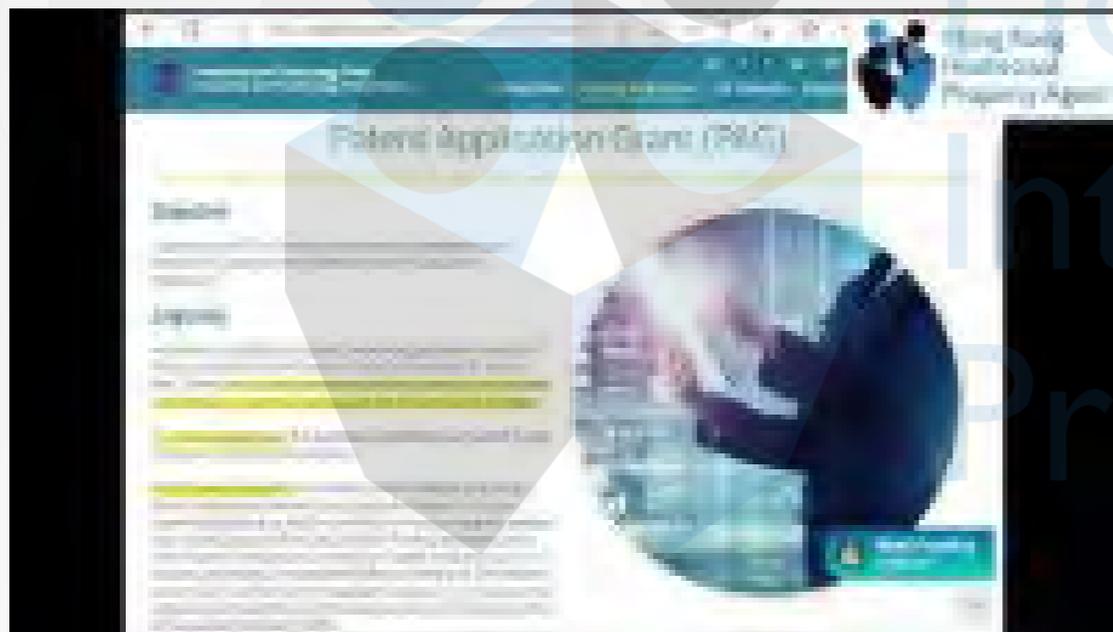
## 4. After Approval (9/9)

1. HKPC to applicant: Which jurisdiction
2. HKIPA to applicant: Quotation
3. HKIPA to HKPC: Quotation
4. HKPC to applicant: C01 letter & appendix
5. HKPC to HKIPA: Confirm taking over
6. HKPC to applicant: Quotation letter
7. HKPC to HKIPA: Instruction letter & Purchase Order (PO)
8. HKIPA to HKPC: Report

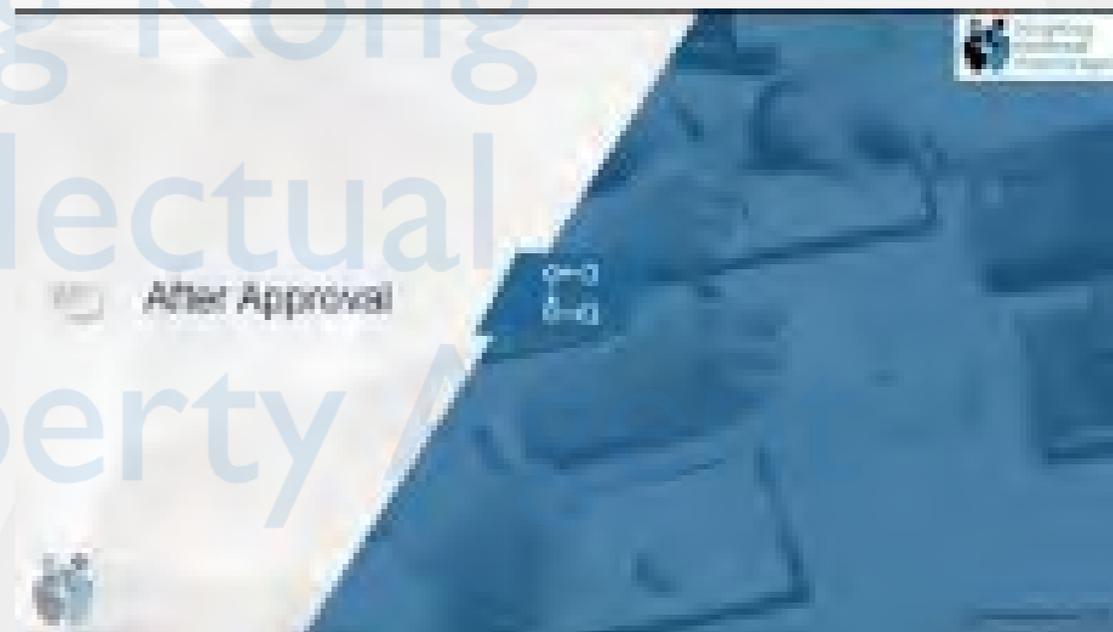


# Introduction Videos

- Our company has specially produced a set of videos to introduce the Hong Kong Patent Application Grant (PAG)



[香港專利資助計劃介紹 Part 1](#)



[香港專利資助計劃介紹 Part 2](#)





# Hong Kong Intellectual Property Agent



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Avenue, Phase Three, Hong Kong Science Park, Pak Shek  
Kok, New Territories, Hong Kong



35635180



35651001



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[info@ipagent.com.hk](mailto:info@ipagent.com.hk)

